

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket: GHASSABIAN 12

In re Application of:)	Conf. No.: 7464
Benjamin Firooz GHASSABIAN et al.)	Art Unit: 2629
Appln. No.: 10/553,575)	Examiner: V. T. LAM
Filing Date: September 26, 2006)	Washington D.C.
For: SYSTEMS TO ENHANCE DATA ENTRY IN MOBILE AND FIXED ENVIRONMENT)	December 29, 2009

RENEWED PETITION UNDER 37 CFR §1.78(a)(3)

U.S. Patent and Trademark Office
Customer Service Window
Randolph Building, Mail Stop **Petition**
401 Dulany Street
Alexandria, VA 22314

Sir:

On July 14, 2009, applicant submitted a Petition Under 37 CFR §1.78(a)(3) To Accept An Unintentionally Delayed Claim Under 35 USC §120 For The Benefit Of A Prior- Filed Application. On December 8, 2009, a Decision on Petition was rendered, dismissing applicant's petition on the ground that a proper reference to the prior filed application had not been included. The rationale was that the supplemental application data sheet (ADS) was inoperative because it did not bear a proper signature as required by 37 CFR §1.33(b). The decision states that before the petition can be granted, a renewed petition and either an application data sheet or proper amendment to the specification must be filed in the present application.

Applicant hereby renews its petition of July 14, 2009. While a new application data sheet is submitted herewith, including a signature as required by the Legal

Examiner, applicant nevertheless traverses the refusal to grant the original petition as (1) the ADS was in accordance with the PTO's own published guidelines for submission of an ADS, and (2) the combination of a signed transmittal letter and an ADS should be considered a single "paper" for purposes of 37 CFR 1.33(b).

Attached hereto is a document entitled "Patent Application Data Sheet Format: Guide for Submitting Bibliographic Data for Patent Applications" dated April 14, 2003. This document is presently accessible on the PTO web site at:

<http://www.uspto.gov/web/offices/pac/dapp/sir/doc/adsguidelines.pdf>. It should be noted that nowhere in this 68 page document teaching how to file application data sheets is there any indication that a signature is required. The data entry format examples begin at page 12 and run through page 24. None of these examples have a signature or a place for a signature. Applicant's Supplemental ADS submitted on July 14, 2009, fully complies with the guidelines set forth in this document.

The Patent and Trademark Office has never advised the patent bar that it has changed its position as to the necessity of a signature on application data sheets. The fact that the new ADS form placed on the PTO website includes a place for a signature is not notice to the patent bar that the PTO now considers the previously published guidelines to be wrong and not to be followed in the future in the preparation of Application Data Sheets. At most it indicates a preference that the ADS be signed directly.

It is understood that 37 CFR §1.33(b) states that "amendments and other papers ... filed in the application must be signed by...." But this does not mean that literally every single paper filed in an application must be signed. When a priority document is filed, which is an "other paper filed in the application," it is not signed by the practitioner. Usually

a cover paper is transmitted with the document and signed by the practitioner. This has always been considered to be acceptable.

When new or amended drawings are filed in an application, the drawings are not signed by the practitioner. Rather an amendment is filed that directs entry of the enclosed new or substitute sheets.

When foreign patents or non-patent literature are filed with an IDS, each of those papers need not be signed. The accompanying signed IDS is sufficient.

Clearly 1.33(b) has been interpreted as permitting the combination of a signed transmittal with an unsigned enclosure. The term “other papers,” as used in this rule, could also be reasonably interpreted as meaning “other papers that require a signature.” The rule is not about what papers need signature. The rule is about who is permitted to sign a paper that requires a signature. By either interpretation, the fact that the PTO guidelines for submitting Application Data Sheets, attached hereto, do not require a signature is evidence that the PTO at one time interpreted this rule as not requiring a signature on an ADS.

In this case, there was a transmittal letter submitting the ADS which was signed by a practitioner. A copy of the transmittal letter filed on July 14, 2009, is attached hereto. It can be seen from the first line that it refers to the amendment, the petition and the supplemental application data sheet that were transmitted on July 14, 2009. The signature on the transmittal letter should be considered to be the signature on the ADS, for the same reason that it would have been considered to be the signature on submitted new drawings or submitted priority application, or a submitted IDS document.

If the USPTO wants to change the procedure originally set forth in the attached guidelines, it should publish this fact and give practitioners an opportunity to change their practices. By refusing to accept the ADS submitted with the petition, a precedent has

been set which effectively rules that every ADS ever filed without a direct signature is ineffective for lack of a signature, even when submitted with a signed transmittal letter. This could create significant new fodder to all future patent litigations. We believe that this would affect many cases filed by many practitioners.

Accordingly, it is requested that the decision of December 8, 2009, be reconsidered and the ADS submitted with applicant's submission of July 14, 2009, with a transmittal letter that was signed by a practitioner be accepted. Browdy and Neimark has now changed its ADS form to include a signature block, as on the attached signed form. In the future, Browdy and Neimark will submit all Application Data Sheets with a signature, as a best practice. However, this is not a concession that Browdy and Neimark believes that all previously submitted Application Data Sheets that are not directly signed are inoperative.

Out of an abundance of caution, a new ADS is submitted herewith, that is signed in the manner required in the original decision of December 8, 2009. Accordingly, the present petition must be granted, whether or not the previous decision is reversed.

Granting of this renewed petition and according of the present application the benefit of the prior filed application identified in the original petition, and the provisional applications from which it in turn claims benefit, are therefore earnestly solicited.

Respectfully submitted,

BROWDY AND NEIMARK, P.L.L.C.
Attorneys for Applicant(s)

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Registration No. 25,618

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G:BN\B\Bend\ghassabian12\pto\2009-12-29Petition.doc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Benjamin Firooz GHASSABIAN et al.

Art Unit: 2629

Application No.: 10/553,575

Conf. No. 7464

Examiner: Vinh Tang Lam

Filed: September 26, 2006

Washington, D.C.

For: SYSTEMS TO ENHANCE DATA ENTRY IN MOBILE AND FIXED ENVIRONMENT

Atty.'s Docket: GHASSABIAN12

Date: July 14, 2009

Honorable Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window
Randolph Building, Mail Stop **Amendment**
401 Dulany Street
Alexandria, VA 22314

Sir:

Transmitted herewith is a [XX] Amendment [XX] Petition Under 37 CFR §1.78(a)(3) and Supplemental Application Data Sheet _____ in the above-identified application.

[XX] Small Entity Status: Applicant(s) claim small entity status. See 37 C.F.R. §1.27.
[XX] Petition fee of \$1,410.00 as required by 37 CFR §1.17(t).
[XX] The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA EQUALS
TOTAL	* 39	MINUS	** 34
INDEP.	* 3	MINUS	*** 5
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			

SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
x 26	\$ 130.00		x 52	\$
x 110	\$		x 220	\$
+ 195	\$		+ 390	\$
ADDITIONAL FEE TOTAL			TOTAL	\$

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
** If the "Highest Number Previously Paid for" IN THIS SPACE is less than 20, write "20" in this space.
*** If the "Highest Number Previously Paid for" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" (total or independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment of the number of claims originally filed.

[XX] Conditional Petition for Extension of Time
If any extension of time for a response is required, applicant requests that this be considered a petition therefor.

[] It is hereby petitioned for an extension of time in accordance with 37 CFR 1.136(a). The appropriate fee required by 37 CFR 1.17 is calculated as shown below:

Small Entity
Response Filed Within

[] First	-	\$ 65.00
[] Second	-	\$ 245.00
[] Third	-	\$ 555.00
[] Fourth	-	\$ 865.00

Month After Time Period Set

Other Than Small Entity
Response Filed Within

[] First	-	\$ 130.00
[] Second	-	\$ 490.00
[] Third	-	\$ 1110.00
[] Fourth	-	\$ 1730.00

Month After Time Period Set

[] Less fees (\$_____) already paid for ____ month(s) extension of time on _____.

[] Please charge my Deposit Account No. 02-4035 in the amount of \$_____.

[XX] Credit Card authorization is submitted herewith, authorizing payment in the amount of \$ 1,540.00.

[] A check in the amount of \$_____ is attached (check no.).

[XX] The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR §1.16 and all patent processing fees under 37 CFR §1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR §1.18.

BROWDY AND NEIMARK, P.L.L.C.

Attorneys for Applicant(s)

Facsimile: (202) 737-3528
Telephone: (202) 628-5197

By: /rlb/
Roger L. Browdy
Registration No. 25,618

Patent Application Data Sheet Format

***Guide for Submitting
Bibliographic Data for Patent Applications***



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Paperwork Reduction Act Notice

This information collection is subject to the Paperwork Reduction Act of 1995 (44 U.S.C. § 3501 *et seq.*), and has been reviewed by the Office of Management and Budget (OMB) under OMB control number 0651-0032 and is approved through October 30, 2002. This collection of information is required by 35 U.S.C. §§ 111, 112, 113, 115, 119, and 120. The Patent Application Data Entry Format is used by the public to submit bibliographic information to the USPTO. Use of this voluntary format allows the USPTO to process the bibliographic information easily and efficiently. This information will be treated confidentially in accordance with 35 U.S.C. § 122 and 37 C.F.R. § 1.14. It is estimated to take twelve minutes to review the user guide and submit the bibliographic information. The time may vary depending upon the needs of the individual case. Any comments on the amount of time you require to complete this format and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C., 20231.

Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB control number.

REVISI ONS – Summary of Changes

Version 1 of this Guide was widely distributed between April 1998, and October 1998. Version 2 was modified in December 1998. Based on suggestions and experience of applicants and USPTO's review of the effectiveness of the previous Patent Application Data Sheets already submitted by applicants, and recent changes to the USPTO rules of practice, the guidelines have been further revised. While these modifications will improve accuracy, previous Guide versions will still be acceptable until January 2002. Previous versions of the Guide will not be acceptable for Application Data Sheets filed on or after January 2002.

Application Data Sheets in compliance with this guide will satisfy the requirements of 37 CFR 1.76.

It is noted that 37 CFR 1.76(c)(2) states that a supplemental Application Data Sheet (ADS) may contain only information that is changed and added and that these guidelines recommend filing a complete ADS even when filing a supplemental ADS. A supplemental ADS containing only new or changed information is likely to confuse the record, creates unnecessary work for the Office, and is not recommended.

Version 3 & 4 revisions were so extensive that a summary of revisions would have been more confusing than useful. Version 4 eased the restrictiveness of many of the old format requirements and includes many new elements. Further, version 4 of this format covered follow-on and modified submissions of the Application Data Sheet after initial filing.

Version 5 makes one minor change. On p.10, under the Domestic Priority section, Continuity Type :: field, the type “a non-provisional of” has been changed to “an application claiming the benefit under 35 USC 119(e)”. This has also been changed in the example on P. 19.

THE DATA ENTRY FORMAT

Patent Application Data Sheet Format

THE Patent Application Data Sheet is designed to more effectively turn a customer's paper document into an electronic USPTO data record.

Customers using the Patent Application Data Sheet can expect two advantages when applying for a patent:

1. Improved accuracy of filing receipts. The need for corrected filing receipts related to USPTO errors will be significantly reduced.
2. Accurately recorded application data. This will also reduce application prosecution delays and will improve the accuracy of bibliographic data in patent application publications.

The top of a Patent Application Data Sheet should begin with the heading:

Application Data Sheet

For bibliographic information, the format includes seven section headings:

Application Information

Applicant Information

Correspondence Information

Representative Information

Domestic Priority Information

Foreign Priority Information

Assignment Information

Every applicant should supply the information listed in the first three sections:

Application Information, Applicant Information, Correspondence

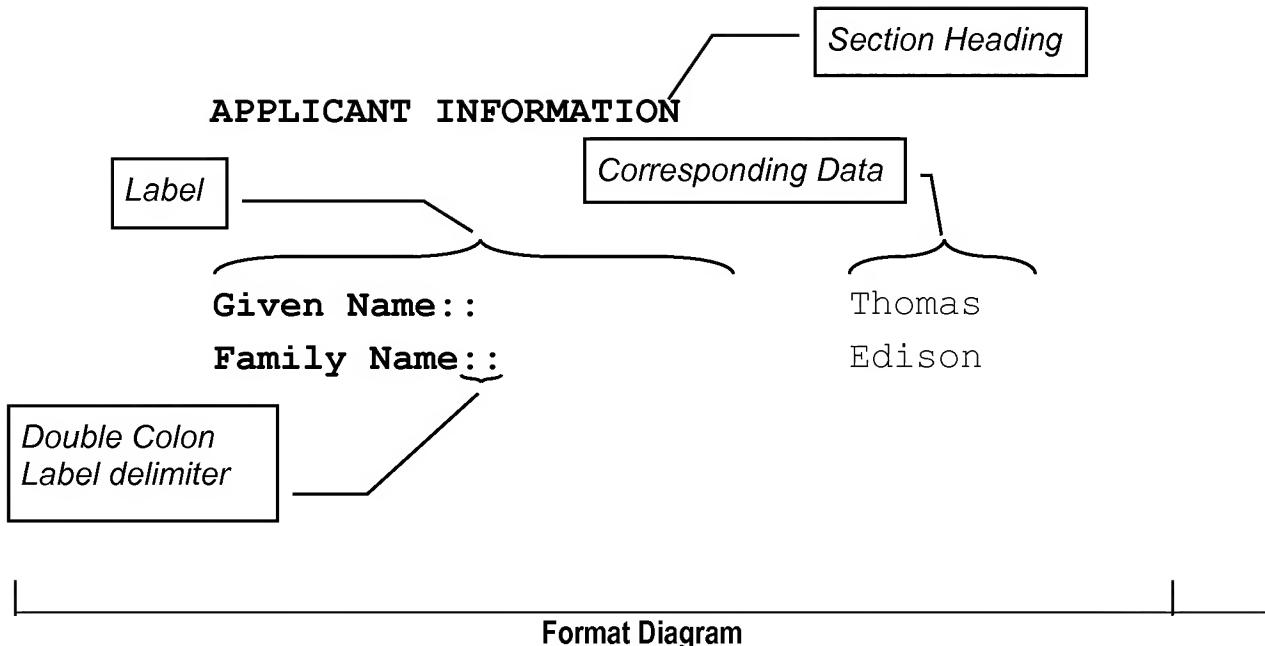
Information. In many cases the Application Data Sheet will be complete with just this information. An ADS must be clearly labeled, begin on a new page, and may not contain any other application data (i.e., abstract, amendments, transmittal letter, etc.).

Information identified in the **Representative Information, Domestic Priority Information, Foreign Priority Information** and **Assignee Information** sections will not necessarily apply to all applications.

For each section included in the patent application data sheet, the customer must type:

1. A section heading
2. A label for each data item which includes:
 - a. a double colon label delimiter
 - b. data corresponding to the label

See the format diagram below.



Any data for the application, which has a label in this Guide, should be included on the Application Data Sheet even if it is duplicates data on other papers in the application.

Any section or label information which does not apply to the specifics of this application does not need to be listed on the Application Data Sheet. However, it may be included with the data portion left blank. For example, if there is no assignee, then do not include the **Assignment Information** section on the ADS.

Labels which are included with the data portion left blank will be interpreted to mean that there is no data for that label anywhere in the application.

Supplemental ADS Submissions

When submitting the Application Data Sheet supplemental to the initial filing of the application, to correct, modify, or augment the original Application data sheet, the following applies:

1. The supplemental Application Data Sheet should be labeled "Supplemental Application Data Sheet"
2. A full replacement copy of the original Application Data Sheet should be submitted with any changes or additions underlined. For deletions without replacement data, use ~~strike-through~~.

3. The footer information should include the word “**Supplemental**” in place of “**Initial**” and should also contain the **Application Number** and **Filing Date**.

When preparing information in the Patent Application Data Sheet, keep these points in mind:

- The only section headings and labels that must appear on your Application Data Sheet are those for which you have corresponding data. You may include labels with blank data fields, if desired.
- Each section heading must be on a separate line.
- Each label must be on a separate line.
- Each item of data provided must start with a label to the left. In other words, if a single piece of information, such as title requires multiple lines, the first line must begin with its own label to the left.
- Section headings and labels must be typed exactly as designated in the format.
- Section headings and labels are not case sensitive. (*i.e., All capital letters or initial capitals are permitted.*)
- The corresponding data for each label must be limited to the maximum length stated in this Guide. **This limit include spaces and punctuation.**
- Technical Document Format requirements are listed in Appendix A.
- Document Footers should include page number, “**Initial**”, and date for Initial Applications.

The next pages explain in detail the format elements and how each should be entered.

Application Data Sheet

Application Information

Application number::	8 digits	For supplemental data sheets only (after initial filing).
Filing Date::	8 characters, MM / DD / YY	
Application Type::	Regular, Provisional, Reexamination, or Reissue Utility, Design, or Plant	
Subject Matter::	Enter the U.S. classification, by class and subclass, e.g., 280/736	
Suggested classification::	No more than 40 characters	
Suggested Group Art Unit::	No more than 6 characters	
CD-ROM or CD-R?::	Table, Listing, or None	
Number of CD disks::	No more than 3 digits	
Number of copies of CDs::	No more than 2 digits	
Sequence submission?::	CD or Paper	
Computer Readable Form (CRF)?::	Yes or No	
Number of copies of CRF::	No more than 2 digits	
Title ::	No more than 500 characters	
Attorney Docket Number::	No more than 25 characters	
Request for Early Publication?::	Yes or No	
Request for Non-Publication?::	Yes or No	
Suggested Drawing Figure::	No more than 4 characters	
Total Drawing Sheets::	No more than 4 numbers	
Small Entity?::	Yes or No	
Latin name::	No more than 40 characters	
Variety denomination name::	No more than 40 characters	
Petition included?::	Yes or No	
Petition Type::	No more than 40 characters	
Licensed US Govt. Agency::	No more than 40 characters	
Contract or Grant Numbers::	No more than 40 characters	
Secrecy Order in Parent Appl.?::	Yes or No	

If non-publication is requested, must include signed statement certification under 35 USC 122(b)(2)(B)(i)

Enter the figure that is recommended to be used for any patent application publication of patent front page.

Rule 37 C.F.R. 5.2, states that if an invention has been determined to be a matter of national security, the Director of Patents & Trademarks has the right to keep the invention secret. Under the same rule, applicants are obligated to notify the Office of any related cases which the Commissioner has kept secret.

Docket Number refers to any application identifier not more than 25 characters long, which is assigned by the attorney or agent or the inventor.

Enter the total number of sheets of drawings as a numeral

Only used for Plant Applications

Brief description of type of petition including appropriate authority (CFR, USC, MPEP, etc.)

Where a Government contractor retains U.S. domestic patent rights, the contractor is required to include the name of the U.S. Government agency and the Government contract number or grant number. (See MPEP § 310)

Applicant Information

The term Applicant as used in this section refers to a person who is either the inventor or is filing the application on behalf of the inventor under 42 U.S.C. 2457(a)(2) or 35 U.S.C. 117 or 35 U.S.C. 118.

Use one of the following:

- Inventor
- Assignee with 37 CFR 1.172 Interest
- U.S. Government Applicant
- Legal Representative
- Party of Interest under 35 USC 118

Use one of the following:

Full Capacity
Unknown
Deceased Inventor
Legally Incapacitated
Non-signing Inventor
Not Relevant

A **Given Name** refers to any or all names except for the last name or surname. Include a middle name or initial on this line, if desired.

A **Family Name** is the last name or surname.

A **Name Suffix** is an optional generational term added to the last name, such as Jr., Sr., III, etc.

If the applicant's mailing address is in the US or Canada, type the 2-character state or 3-character province abbreviation. For Japan, see Appendix C. Do not use this field for any other country.

For locations outside the U.S., type the country name.

Applicant Authority Type: No more than 50 characters

Primary Citizenship No more than 50 characters

Country::

Status::

Given Name:: No more than 50 characters

Middle Name:: No more than 50 characters

Family Name:: No more than 50 characters

Name Suffix:: No more than 10 characters

City of Residence:: No more than 40 characters

State or Province of Residence:: No more than 50 characters

Country of Residence:: No more than 50 characters

Street of mailing address:: No more than 50 characters per line, up to 2 lines

City of mailing address:: No more than 40 characters

State or Province of mailing address:: No more than 50 characters

Country of mailing address:: No more than 50 characters

Postal or Zip Code of mailing address:: No more than 20 characters

For United States, type US. For countries other than the US, type the country name.

If the applicant resides in the U.S. or Canada, type the 2-character State or 3-character province abbreviation used when mailing a letter. Do not use this field for any other country.

Enter the mailing address of the Applicant here. The mailing address requested here is the location where the applicant receives mail. This was previously identified as postal address.

NOTE: Repeat this information for each inventor or other applicant. Non-Inventor applicant information such as legal representative of a deceased inventor should follow the inventor(s) for whom the applicant is acting.

Correspondence Information

<p><i>You may simply indicate a USPTO Customer Number under this heading. A Customer Number will provide all of the remaining data needed in this section.</i></p>	<p>Correspondence Customer Number ::</p>	<p>No more than 9 digits</p>	<p><i>If you provide a valid USPTO Customer Number under this heading, be certain it is associated with your <u>correspondence</u> information.</i></p>
<p><i>If the mailing address is in the US or Canada, type the 2-character state or 3-character province abbreviation. For Japan, see Appendix C. Do not use this field for any other country.</i></p>	<p>Name::</p>	<p>No more than 50 characters</p>	<p><i>When your valid Correspondence Customer Number is provided, omit these labels entirely.</i></p>
<p><i>For locations outside the U.S., type the country name.</i></p>	<p>Street of mailing address::</p>	<p>No more than 50 characters per line, up to 2 lines</p>	
	<p>City of mailing address::</p>	<p>No more than 40 characters</p>	
	<p>State or Province of mailing address::</p>	<p>No more than 50 characters</p>	
	<p>Country of mailing address::</p>	<p>No more than 50 characters</p>	
	<p>Postal or Zip Code of mailing address::</p>	<p>No more than 20 characters</p>	
	<p>Phone number::</p>	<p>No more than 40 characters per line</p>	
	<p>Fax Number:</p>	<p>No more than 40 characters per line</p>	
	<p>E-Mail address::</p>	<p>No more than 64 characters per line</p>	<p><i>Please provide no more than three (3) phone numbers, two(2) fax numbers and three(3) e-mail addresses Separate each by a comma.</i></p>

NOTE: For information concerning the Customer Number practice, see the notice "Extension of the Payor Number Practice (Through Customer Numbers) to matters Involving Pending Patent Applications," published in the *Federal Register* at 61 Fed. Reg. 54622 (October 21, 1996), and in the *Official Gazette* at 1191 Off. Gaz Pat. Office 187 (October 29, 1996).

This section modifies the general format of the Application Data Sheet to facilitate an easier documentation of Representative Information in a tabular form.

Representative Information

Your Customer Number will provide all of the data required under this heading.
If you provide a valid USPTO Customer Number here, be certain it is associated with your representative information.

Representative Customer Number::	No more than 9 digits	
----------------------------------	-----------------------	--

-OR-

If a Customer Number is not indicated, identify each representative's registration number with a designation of Primary or Associate., and the representative's name.

If a Customer Number is supplied, do not provide any individual registration numbers.

*Note: Providing this information in the ADS **does not** constitute a power of attorney or authorization of agent in the application. See 37 CFR 1.76(b)(4).*

Representative Designation::	Registration Number::	Representative Name::
Primary or Associate	No more than 5 digits	No more than 50 characters

This section modifies the general format of the Application Data Sheet to facilitate an easier documentation of Domestic Priority in a tabular form.

Domestic Priority Information

Application ::	Continuity Type::	Parent Application:: no more than 20 characters	Parent Filing Date:: 8 characters, MM / DD / YY

Enter **This Application** if the priority applies directly to the application being filed.

Enter the Application Number if the priority refers to a previously filed application, which is in turn related to the application being filed.

Enter one of the following:

Continuation of
Continuation-in-part of
Division of
An application claiming the benefit under 35 USC 119(e)
National Stage of
Reissue of
Reexamination of
Substitution for

Enter the Parent Application number on which priority is claimed.

NOTE: Use a new line for each priority claimed

NOTE: Providing the domestic priority information on the ADS satisfies the specific reference requirement of 35 U.S.C. 119(e) and 120 (e.g. if an ADS includes reference to a prior U.S. application, the first sentence of the specification does not need to be amended to contain the specific reference). See 37 CFR 1.76(b)(5)

This section modifies the general format of the Application Data Sheet to facilitate an easier documentation of Foreign Priority in a tabular form.

Foreign Priority Information

Country:: up to 50 characters	Application number:: up to 20 characters	Filing Date:: 8 characters, MM / DD / YY	Priority Claimed:: Yes or No

See Appendix B for specific instructions on the correct numbering, by country, of Foreign patent applications.

Although prior foreign applications that are not relied upon for priority may be included in an application data sheet (see 37 CFR 1.63(c)(2)), it is desirable for such an application to be addressed in the declaration instead.

NOTE: Use a new line for each priority claimed

NOTE: Providing foreign priority information in the Application Data Sheet constitutes the claim for foreign priority required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

Assignee Information should be provided on the Application Data Sheet only for the purpose of indicating what should be printed on the Published application. The address information is optional.

If the mailing address is in the US or Canada, type the 2-character state or 3-character province abbreviation. For Japan, see Appendix C. Do not use this field for any other country.

For locations outside the U.S., type the country name.

Assignee Information

Assignee name::	No more than 50 characters
Street of mailing address::	No more than 50 characters per line, up to 2 lines
City of mailing address::	No more than 40 characters
State or Province of mailing address::	No more than 50 characters
Country of mailing address::	No more than 50 characters
Postal or Zip Code of mailing address::	No more than 20 characters

This includes the name (either individual person or juristic entity (organization name)) of the assignee or the entire right title and interest in an application.

NOTE: If there is more than one assignee, this information should be repeated for each one.

NOTE: Assignment information provided an ADS **will not** be officially recorded for this application. Assignment Information is considered recorded when submitted as provided in Title 37, Section 3. Assignment information submitted on an ADS only results in the assignment information being included on the patent application publication.

DATA ENTRY FORMAT EXAMPLES

[The header and footer information and pagination could not be shown in the examples directly but is included at the end of each example]

Example 1 (Initial ADS for an application with one inventor having representation)

Application Data Sheet

Application Information

Application Type:: Regular
Subject Matter:: Utility
Suggested Classification:: 280/7
Suggested Group Art Unit:: 1640
CD-ROM or CD-R? None
Title:: Handling and Eating Utensils for use with
Broiled or Boiled Mussels and other Shellfish
that inhabit the various cold water, non-coastal
areas of the Ocean continental shelf.
Attorney Docket Number:: Jones01-2349
Request for Early Publication?:: No
Request for Non-Publication?:: No
Suggested Drawing Figure:: 7
Total Drawing Sheets:: 6
Small Entity:: No
Petition included?:: No
Secrecy Order in Parent Appl.?:: No

Applicant Information

Applicant Authority type:: Inventor

Primary Citizenship Country::	US
Status::	Full Capacity
Given Name::	Maximillian
Middle Name::	Algonquin
Family Name::	Katch
City of Residence::	Falls Church
State or Province of Residence::	VA
Country of Residence::	US
Street of mailing address::	716 My Place
	Apt. 3
City of mailing address::	New York
State or Province of mailing address::	NY
Postal or Zip Code of mailing address::	10013

Correspondence Information

Name:: Mister Jones & Associates
Street of mailing address:: 875 Busy Avenue
City of mailing address:: Suite 740
State or Province of mailing address:: New York
Postal or Zip Code of mailing address:: 10012
Telephone:: (212) 555-9283, (212)555-9300
Fax:: (212) 555-4321

Page # 2

Initial 03/21/01

Representative Information

Representative Designation::	Registration number::	Name::
---------------------------------	-----------------------	--------

Primary	99001	Franklin D. Harbour, Esq.
Associate	99143	Seymour O. Clock
Associate	79023	Theold Mann

[This application has no priority claims or assignee data]

*ADS creation
date*

Page # 3

Initial 03/21/01

Example 2 (Initial ADS for an application with Independent Inventors without representation)

Application Data Sheet

Application Information

Application Type::: Regular
Subject Matter::: Utility
Suggested Classification::: 410/167.8
Suggested Group Art Unit::: 3210
CD-ROM or CD-R? None
Title::: Safety Belt for a skateboard
Attorney Docket Number::: A4Z01
Request for Early Publication?::: No
Request for Non-Publication?::: No
Suggested Drawing Figure::: 1
Total Drawing Sheets::: 2
Small Entity::: Yes
Petition included?::: No
Secrecy Order in Parent Appl.?::: No

Applicant Information

Country of mailing address:: Austria
Postal or Zip Code of mailing address:: Zonas 143
Applicant Authority type:: Inventor
Primary Citizenship Country: US
Status:: Full Capacity
Given Name:: Ethel
Middle Name:: Ogosh
Family Name:: Propell
City of Residence:: Cleveland
State or Province of Residence:: OH
Country of Residence:: US
Street of mailing address:: 415 Mappgas Street
City of mailing address:: Cleveland
State or Province of mailing address:: OH
Postal or Zip Code of mailing address:: 14332

Correspondence Information

Name:: Ms. Ethel O. Propell
Street of mailing address:: P.O. Box 7345
Central Station
City of mailing address:: Cleveland
State or Province of mailing address:: OH
Postal or Zip Code of mailing address:: 14343
Telephone:: (367) 407-7865
E-Mail address:: Fastone@aol.com

[This application has no priority claims or assignee data]

Application Data Sheet

Application Information

Application Type:: Regular
Subject Matter:: Utility
Suggested Classification:: 70/342.67
Suggested Group Art Unit:: 1640
CD-ROM or CD-R? None
Title:: Device for Planting and Cultivation of Corn and
like plants
Request for Early Publication?:: No
Request for Non-Publication?:: No
Suggested Drawing Figure:: 2
Total Drawing Sheets:: 45
Small Entity:: No
Petition included?:: No
Secrecy Order in Parent Appl.?:: No

Applicant Information

Applicant Authority type:: Inventor
Primary Citizenship Country: US
Status:: Full Capacity
Given Name:: Lester
Family Name:: Brainstorm

Page # 1

Initial 03/21/01

City of Residence:: Lincoln

State of Province of Residence:: NE

Country of Residence:: US
Street of mailing address:: RR 317 Box 15
City of mailing address:: Lincoln
State or Province of mailing address:: NE
Postal or Zip Code of mailing address:: 98765

Correspondence Information

Correspondence Customer Number:: 999999

Representative Information

Representative Customer Number:	123444
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Domestic Priority Information

Application::	Continuity Type:	Parent Application::	Parent Filing Date::
This Application	Continuation of	09/912,354	01/22/98
09/912,354	<i>An application claiming the benefit under 35 USC 119(e)</i>	60/878265	06/07/97

Foreign Priority Information

Country::	Application Number::	Filing Date::	Priority Claimed::
France	FR199702347	07/23/97	Yes

Assignee Information

Assignee Name:: International Harvester, INC.

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Initial 03/21/01

Example 4 (modified Example 2 - Initial ADS for an application with 2 Inventors, one deceased, a legal representative of the deceased inventor but no attorney or agent of record.)

Application Data Sheet

Application Information

Application Type:: Regular
Subject Matter:: Utility
Suggested Classification:: 410/167.8
Suggested Group Art Unit:: 3210
CD-ROM or CD-R? None
Title:: Safety Belt for a skateboard
Attorney Docket Number:: A4Z01
Request for Early Publication?:: No
Request for Non-Publication?:: No
Suggested Drawing Figure:: 1
Total Drawing Sheets:: 2
Small Entity:: Yes
Petition included?:: No
Secrecy Order in Parent Appl.?:: No

Applicant Information

Country of mailing address:: Austria
Postal or Zip Code of mailing address:: Zonas 143
Applicant Authority type:: Inventor
Primary Citizenship Country: US
Status:: Deceased Inventor
Given Name:: Ethel
Middle Name:: Ogosh
Family Name:: Propell
Applicant Authority type:: Legal Representative
Primary Citizenship Country: US
Status:: Full Capacity
Given Name:: Karin
Middle Name:: Tippon
Family Name:: Propell
City of Residence:: Cleveland
State or Province of Residence:: OH
Country of Residence:: US
Street of mailing address:: 415 Mappgas Street
City of mailing address:: Cleveland
State or Province of mailing address:: OH
Postal or Zip Code of mailing address:: 14332

Correspondence Information

Name:: Ms. Karin T. Propell
Street of mailing address:: P.O. Box 7345
Central Station
City of mailing address:: Cleveland
State or Province of mailing address:: OH
Postal or Zip Code of mailing address:: 14343
Telephone:: (367) 407-7865
E-Mail address:: Fastone@aol.com

[This application has no priority claims or assignee data]

Page # 2 Initial 03/21/01
Example 5 (Supplemental submission of Application Data Sheet from Example 1 with modifications)

Note: A supplemental ADS does not alone change the power of attorney in a application.

Application Information

Application Number:: 09/998,345
Filing Date:: 03/28/01
Application Type:: Regular
Subject Matter:: Utility
Suggested Classification:: 280/7
Suggested Group Art Unit:: 1640
CD-ROM or CD-R? None
Title:: Handling and Eating Utensils for use with
Broiled or Sautéed Mussels and other Shellfish
that inhabit the various cold water, non-coastal
areas of the Ocean continental shelf.
Attorney Docket Number:: Jones01-2349
Request for Early Publication?:: No
Request for Non-Publication?:: No
Suggested Drawing Figure:: 7
Total Drawing Sheets:: 6
Small Entity:: No
Petition included?:: No
Secrecy Order in Parent Appl.?:: No

Applicant Information

Applicant Authority type:: Inventor

Page #1 Supplemental 09998345 03/21/01 05/27/01

Primary Citizenship Country: US
Status:: Full Capacity
Given Name:: Maximillian
Middle Name:: Algonquin

Family Name:: Katch
City of Residence:: Falls Church
State or Province of Residence:: VA
Country of Residence:: US
Street of mailing address:: 716 My Place
Apt. 3
City of mailing address:: New York
State or Province of mailing address:: NY
Postal or Zip Code of mailing address:: 10013

Correspondence Information

Name:: Mister Jones & Associates
Street of mailing address:: 1201 Avenue of the Americas

City of mailing address:: Brunswick
State or Province of mailing address:: NY
Postal or Zip Code of mailing address:: 10034
Telephone:: (217) 545-9100
Fax:: (217) 545-9120

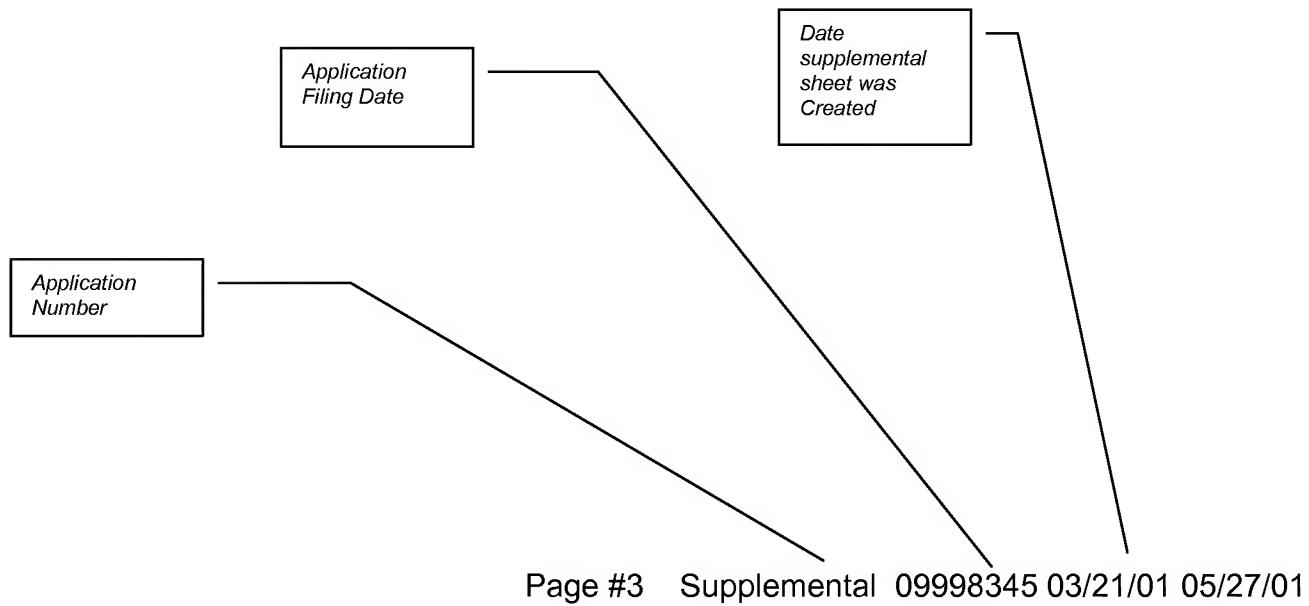
Page #2 Supplemental 09998345 03/21/01 05/27/01

Representative Information

Representative Designation::	Registration number::	Name::
Primary	99001	Franklin D. Harbour, Esq.
Associate	99143	Seymour O. Clock

Associate	79023	Theold Mann
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[This application has no priority claims or assignee data]



A P P E N D I X A

Technical Characteristics of the Application Data Sheet Format

THE following guidelines have been established to minimize errors in the scanning and text conversion of patent application information.

1. Paper Characteristics

General Requirements

No ADS shall include:

- wrinkles or creases
- rolls or folds
- erasures
- alterations
- overwriting
- printing on the reverse side of the paper
- staples
- non-white or thermal or fax paper
- handwriting

Specific Requirements

Paper Size

Required: 8.5 x 11 inch or A4 (210 mm x 297 mm)

Not permitted: Legal (8.5 x 14 inch) or any other size

2. Typography used in documents

General requirements

The characters should be created with a word processor or typewriter. Hand written characters are not permitted.

Specific requirements

Font/Character size

Recommended: 12 point or larger

Fonts

Recommended: Courier, Arial, Univers

Not Recommended:

OCR-A, OCR-B, Times New Roman, Gothic

Font Styles and Effects

Permitted: All capitals, upper and lower case, bold.
Underlining & strikeout only permitted on supplemental Application Data Sheet submissions.

Not permitted: Italic, small capitals, no shading or highlighting, reverse or negative printing.

Special Characters

Greek characters, mathematical characters, superscripts and subscripts are **not permitted**, except in the Title, Inventor Name, and Assignee Name fields.

NOTE:

- The numeral key "1" should be used for the number "one", and the letter key "I" should be used for the letter "ell."
- The letter key "O" should be used for the letter "O" and the numeral key "0" should be used for the numeric zero.
- The "@" symbol is required in an e-mail address.

3. Document Format

Margins

8.5 x 11 inch paper -

top: 1.25 inch (30 mm)
left side: 1.0 inch (25 mm)
right side: 0.75 inch (20 mm)
bottom: 0.75 inch (20 mm)

A4 paper -

top: 30 mm (1.25 inch)
left side: 25 mm (1.0 inch)
right side: 20 mm (0.75 inch)
bottom: 20 mm (0.75 inch)

Orientation

Required: Portrait - each page will be used in an upright position (i.e., short side at the top and bottom)

Not permitted: Landscape

Line Spacing

1.5 or double spacing

Hyphenation and Word Splitting

Hyphenations are permitted within a line, but not across lines. Word splitting at the end of a line by the use of hyphens is not permitted.

Page Numbering

All sheets shall be numbered in consecutive Arabic numerals. (e.g.: 1, 2, 3) Page numbers shall be located at the bottom center of each page.

Page Justification

All text will be left-justified. Right justification and full justification are not permitted.

4. Other Patent Application Data Sheet guidelines:

- **No** express mail stamps or certificates of mailing which obscure the data
- **No** extraneous typed, written information, or labels which obscure the data
- **No** line numbering
- **No** extraneous typed, written information, or labels in the left margin

A P P E N D I X B**Guidance on Foreign Application numbering for Foreign Priority Claims**

The Appendix is provided to assist applicants in identifying foreign priority documents in a clear, unambiguous manner. The two tables provided are found in the WIPO (World Intellectual Property Organization) Handbook on Industrial Property Information and Documentation, specifically Standard ST. 10/C. The countries within the tables are divided into two categories which include (1) countries or organizations which have annual series of application numbers and (2) countries or organization which have other than annual series of application numbers. Numerous examples are provided within the tables.

By identifying the documents in a manner consistent with the tables, applicants can help the United States Patent and Trademark Office clearly identify the claim for foreign priority on the Application Data Sheet or other documents such as the oath or declaration.

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
AT (AUSTRIA)	A 1234/95	A 1234/95	1234/95	A = letter code characterizing a patent application number.
	GM 123/95	GM 123/95	123/95 U	GM = letter code characterizing a utility model application number. An annual number sequence in ascending order starting with 1 is used both for patent applications and utility model applications.

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
BE (BELGIUM)	9200547	9200547	9200547	<p>Numbering system used for all applications filed since January 1, 1987.</p> <p>Continuous numbering system according to the filing date; the first two digits indicate the last two numbers of the year of filing of the application.</p>
BR (BRAZIL)	10432/72 (old numbering system) PI 8300014-3 (new numbering system) MU 6100132-2	10432/72 8300014 6100132	10432/72 8300014 6100132 U	<p>Numbering system used from 1972 to 1975.</p> <p>Numbering system introduced from 1975. The letters preceding the number denote the type of industrial property right:</p> <p>PI = invention; MU = utility model.</p> <p>The first two digits indicate the last two numbers of the year of filing of the application and the type of industrial property right. The first digit denotes the number of the decade minus a control digit which is different for various kinds of documents, e.g., for PI-0, MU-2. Digits in positions 3 to 7 denote the serial number of the application given by the Brazilian Office. The digit in the last position is an error control digit.</p>

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
CH (SWITZER- LAND)	01234/96-2	1234/96	1234/96	The two digits after the slash indicate the last two numbers of the year of filing of the application. The figure after the dash is a check digit.
CS (CZECHO- SLOVAKIA) (former)	PV 3628-72	3628-72	3628-72 or 3628/72	PV is an abbreviation meaning "application for protection of an invention."
CU (CUBA)	1/85 (new numbering system)	1/85	1/85	As from 1985 an annual numbering system for applications is used. The two digits following the slash represent the last two digits of the year of filing of the application.

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
DE (GERMANY)	P 24 50 490.3-34 (old numbering system)	24 50 490	24 50 490	Old numbering system: P = letter code indicating a patent application number. The first two numerals represent the year of filing reduced by 50. The first digit after the full stop is a check digit. The two digits following the dash indicate the examination division in charge. The numerals 1 to 5 in the third position of the number indicate a national application. Used until the end of 1994.
	P 28 60 001.3 (old numbering system)	28 60 001		The numerals 6 to 8 in the third position indicate a patent granted by the EPO. Used for patents for which applications had been filed with the EPO until the end of 1988.
	P 33 90 001.9-25 (old numbering system)	33 90 001		The numeral 9 in the third position indicates a PCT application. Used until the end of 1994.
	G 94 00 001.8 (old numbering system)	94 00 001	94 00 001 U	G = letter code indicating utility model applications. The first two numerals represent the last two numbers of the year of filing of the application. Used until the end of 1994.

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
DE (cont'd)	195 00 002.1	195 00 002	195 00 002	<p>New numbering system: The numerals in the second and third positions indicate the last two numbers of the year of filing of the application. The numeral in the first position indicates the type of industrial property right:</p> <p>1 = national patent applications, PCT applications with Germany as a designated State and applications for SPCs (used since 1995). The range 75001 to 79999 each year is reserved for SPCs. The numerals 8 and 9 in the fourth position indicate PCT applications;</p> <p>2 = utility model applications and topographies (used since 1995). The range 00001 to 75000 each year is reserved for utility models, the range 75001 to 79999 relates to topographies, the range 80001 to 99999 to PCT applications;</p> <p>5 = patents granted by the EPO, filed in German (used for applications filed with the EPO from 1989);</p>
	295 00 001.5	295 00 001	295 00 001 U	
	589 00 001.2	589 00 001		

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
	689 00 001.4	689 00 001		6 = patents granted by the EPO, filed in English or French (used for applications filed with the EPO from 1989). The last digit in position 9 after the dot is a check digit.
DK (DENMARK)	2986/68 (old numbering system)	2986/68	2986/68	Numbering system for patent applications. The two digits after the oblique stroke indicate the last two numbers of the year of filing of the application. Used until the end of 1997.
	9500242 (old numbering system)	9500242	9500242 U	Numbering system for utility model applications. The first two digits indicate the last two numbers of the year of filing of the application. Used until the end of 1997.

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
DK (cont'd)	PA 1998 01234 BA 1998 00123	PA 1998 01234 BA 1998 00123	1998 01234 1998 00123 U	New numbering system as from 1998 where the letters PA indicate a patent application and BA a utility model application. The numerals in the third to sixth positions represent the year of filing of the application and the last five numerals represent a serial number assigned to the application. The letter codes PA and BA will not appear on the first pages of the published documents.
EC (ECUADOR)	SP 94-1046 SMU.94-033	94-1046 SMU.94-033	94-1046 or 94/1046 94-033 U	The first two digits indicate the last two numbers of the year of filing of the patent or utility model application.

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
EE (ESTONIA)	U 94 00001	9400001	9400001 U	<p>Numbering system introduced in 1994. The first two digits of the number indicate the last two digits of the year of filing of the application. Digits in positions 3 to 7 denote the serial number of the application given by the receiving office.</p> <p>U = letter code characterizing a utility model application.</p>
EG (EGYPT)	541/88 (old numbering system)	541/88	541/88	<p>Numbering system used for patent applications. The two digits after the slash represent the last two digits of the year of filing of the application.</p>
	1996010001 (new numbering system)	1996010001	1996010001	<p>In the new numbering system, the year and month of filing of the application are indicated in positions 1 to 6 (e.g., January 1996 in the sample). Digits in positions 7 to 10 denote the serial number of the application.</p>

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
EP (EUROPEAN PATENT OFFICE)	79100953.3	79100953	79100953	The first two digits indicate the last two numbers of the year of filing of the application. The third and fourth digits denote the place of filing. Digits in positions 5 to 8 denote the serial number of the application given by the receiving office. The digit in the last position is an error check digit.
ES (SPAIN)	P 8802029 (0)	8802029	8802029	New application numbering systems for patents and utility models were introduced in 1986.
	U 8900627 (5)	8900627	8900627 U	The letters P and U denote the type of industrial property right: P = patent for invention, U = utility model. The first two digits indicate the last two numbers of the year of filing of the application. The digit in brackets is used for computer control.
FI (FINLAND)	3032/73 (old numbering system) 834567 (new numbering system)	3032/73 834567	3032/73 834567	- New numbering system introduced on January 1, 1975. The first two digits indicate the last two numbers of the year of filing of the application.

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
	U951234 (new numbering system)	951234	951234 U	Utility models: the first two digits indicate the last two numbers of the year of filing of the application.

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
FR (FRANCE)	73.19342 96 11800	73.19342 96 11800	73.19342 96 11800	<p>Old style of presentation. Numbering system introduced for patent applications filed as from January 1969.</p> <p>Deletion of the intermediary full stop from this number onwards.</p> <p>The number is formed of the last two figures of the year followed by a five-figure serial number (annual series). This number is the "national registration number" allocated on filing of an application which then also constitutes the number of the granted patent.</p> <p>Note: in the databases, the space after the year digits is deleted.</p>
GB (UNITED KINGDOM)	41352/70 (old numbering system) 8015674 (new numbering system)	41352/70 8015674	41352/70 8015674	<p>Numbering system according to the old 1949 Patents Act.</p> <p>New numbering system introduced according to the new 1977 Patents Act. The first two digits of the number represent the last two digits of the year of filing of the application.</p>
GR (GREECE)	960100177 960200188	960100177 960200188	960100177 960200188 U	Numbering system introduced on January 1, 1988. The first two digits of the number represent the last two digits of the year of filing of the application.

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
				<p>In positions three and four, the type of industrial property right is coded:</p> <p>01 = patent application, 02 = utility model application.</p> <p>The last five digits represent the serial number of the application.</p> <p>From 1985 to 1987, a different annual numbering system was used (two digits to denote the year of filing and four digits for the serial number).</p>
HU (HUNGARY)	<p>39 55/81 (old numbering system)</p> <p>P 9300684 (new numbering system)</p> <p>U 9300266 (new numbering system)</p>	<p>39 55/81</p> <p>P 9300684</p> <p>U 9300266</p>	<p>39 55/81</p> <p>9300684</p> <p>9300266 U</p>	<p>Numbering system introduced in 1980. The last two digits indicate the last two numbers of the year of filing of the application.</p> <p>New numbering system introduced in 1992.</p> <p>P = letter code characterizing a patent application as well as an application for a plant patent.</p> <p>U = letter code characterizing a utility model application. The first two digits indicate the last two numbers of the year of filing of the application.</p>

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
IE (IRELAND)	1152/69 (old numbering system) 920002 (new numbering system) S922656 (new numbering system for short-term Patent Applications)	1152/69 920002 S922656	1152/69 920002 S922656	Numbering system used until the end of 1991. Numbering system as from January 1, 1992. The first two digits of the number represent the last two digits of the year of filing of the application and the rest constitute a rising annual series with leading zeros. In the above annual series, as from August 1, 1992, i.e., the date of commencement of the 1992 Patents Act, short-term Patent Applications are distinguished from the rest by prefixing their numbers with the letter "S."
IN (INDIA)	643/58	643/58	643/58	-

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
IT (ITALY) Until December 31, 1990	19001 A/73 20401 B/73	19001 A/73 20401 B/73	19001/73 20401/73 U	A = letter code characterizing a patent application. B = letter code characterizing a utility model application. Patent applications and utility model applications were numbered in parallel series. The letter is essential for identifying the applications. Each year a block of application numbers was allotted to each of the 93 provincial bureaus (UPICA) where patent applications can be filed. In 1973, 90,000 numbers were distributed for patent applications whereas a total of 30,000 applications was expected to be filed, and 70,000 numbers were distributed for utility model applications. As a result the number series contain about 100 gaps.
From January 1, 1991	MI91A000231 MI94U000225	MI91A0231 MI94U0225	MI91A0231 MI94U0225 U	MI = two-letter code of the Provincial Office for Industry, Commerce and Handicraft (<i>Ufficio Provinciale Industria, Commercio e Artigianato—UPICA</i>) where the application was filed (e.g., MI stands for Milan). 91 and 94 = last two digits of the year of filing of the application.

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
IT From January 1, 19 91 (cont'd)				<p>A = letter code characterizing a patent application and U a utility model application. (C = trademark application, O = ornamental model or multiple filing.)</p> <p>000231 and 000225 = current numbers. Each UPICA starts its own annual numbering series for each kind of application every year with number 1; there are about 100 numbering series for patent applications, and both the application code and the UPICA code are essential for correct identification.</p>

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
JP (JAPAN)	特許庁 46-69807	46-69807	46-69807 or 46/69807	<p>The first two symbols characterize a patent application. The third symbol relates to the Emperor's reign.</p> <p>The first two digits (before the dash) indicate the year of the Emperor's reign in which the application was filed.</p> <p>Number 46 refers to the SHOWA era and indicates the year 1971.</p>
	特許庁 3-180893	3-180893	3-180893 or 3/180893	<p>The second example shows a patent application filed under the HEISEI era, which started in 1989, and indicates, with the figure 3, the filing year 1991.</p>
	特許庁 5-55143	5-55143	5-55143 U or 5/55143 U	<p>The first two symbols characterize a utility model application. The third symbol relates to the Emperor's reign.</p> <p>The first digit (before the dash) indicates the year of the Emperor's reign in which the application was filed.</p> <p>Digit 5 refers to the HEISEI era and indicates the year 1993.</p>

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
KE (KENYA)	KE/P/92/00065	92/00065	92/00065	Application numbers for patents and utility models consist of the country code, a slash, letter P indicating that it is an application
	KE/UM/93/00001	93/00001	93/00001	for a patent (or letters UM indicating that it is an application for a utility model certificate), a slash, a two-digit indication of the year in which the application was first filed in Kenya, a slash and a five-digit number allotted in sequential order.
KG (KYRGYZ-STAN)	950012.1 950009.2	950012.1 950009.2	950012 950009 U	The first two figures indicate the last two numbers of the year of filing of the application. The following 4-digit number indicates the serial number of the application. The figure after the dot indicates the type of industrial property right (1 = patent for invention, 2 = utility model).
KR (REPUBLIC OF KOREA)	❶❷ 95-012345	95-012345	95-012345 or 95/012345	The first two symbols characterize a patent application.
	❶❷ 95-701234	95-701234	95-701234 or 95/701234	The first two digits before the dash indicate the year of filing of the application. A serial number greater than 700000 indicates a PCT application.

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
	 95-012345	95-012345	95-012345 U or 95/012345 U	<p>The first two symbols characterize a utility model application.</p> <p>The first two digits before the dash indicate the year of filing of the application.</p>
	 95-701234	95-701234	95-701234 U or 95/701234 U	A serial number greater than 700000 indicates a PCT application.
KZ (KAZAKH-STAN)	951117.1 95025.2	951117.1 95025.2	951117 95025 U	<p>The first two digits designate the last two numbers of the year of filing of the application.</p> <p>The group of digits following the year of filing of the application and comprising three or four digits represents the sequential number of the application for a utility model or an invention. The digit after the full stop is a control digit which indicates the type of industrial property right: 1 = patent for invention, 2 = utility model.</p>
LT (LITHUANIA)	95-014	95-014	95-014	<p>Numbering system introduced on January 1, 1995.</p> <p>The first two digits (before the dash) indicate the last two numbers of the year of filing of the patent application.</p>

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
MD (REPUBLIC OF MOLDOVA)	94-0287	94-0287	94-0287	The first two digits (before the dash) indicate the year of filing of the patent application. The four digits following the dash denote the serial number of the application.
MK (THE FORMER YUGOSLAV REPUBLIC OF MACEDONIA)	Π 104/93	Π 104/93	104/93	The letter code preceding the number denotes the type of industrial property right (Π = patent for invention, as well as plant patent). The figure before the slash represents the serial number. The last two digits indicate the year of filing of the application.
MX (MEXICO)	9504146 (present numbering system)	9504146	9504146	The first two digits denote the last two numbers of the year of filing of the application and the last five the serial number of the application.
NL (NETHER-LANDS)	7015038 (old numbering system)	7015038	7015038	The first two digits indicate the last two numbers of the year of filing of the application. New numbering system introduced on April 1, 1995 (see Table II).
NO (NORWAY)	1748/70 (old numbering system)	1748/70	1748/70	The last two digits indicate the last two numbers of the year of filing of the application.

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
	740001 (new numbering system)	740001	740001	New numbering system introduced on January 1, 1974. The first two digits indicate the last two numbers of the year of filing of the application.
PK (PAKISTAN)	10131/65	1031/65	1031/65	-
RO (ROMANIA)	92-0884	92-0884	92-0884	Numbering system introduced on January 21, 1992. The first two digits represent the last two digits of the year of filing of the application. The digits after the dash constitute a rising serial number with leading zeros.

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
RU (RUSSIAN FEDERATION)	92005240 (old numbering system)	92005240	92005240	Numbering system used from 1992 to 1995. The first two digits of the number indicate the last two numbers of the year of filing of the application.
	95103445 (new numbering system)	95103445	95103445	Numbering system introduced from 1995. The first two digits indicate the last two numbers of the year of filing of the application. The third digit indicates the type of industrial property right: 1 – 4 = invention and utility model.
SE (SWEDEN)	16414/70 (old numbering system)	16414/70	16414/70	-
	7300001-0 (new numbering system)	7300001	7300001	New numbering system introduced as from January 1, 1973. However, some applications filed before 1973 have been renumbered according to the new numbering system, i.e., the number 16414/70 has become 7016414-8. The first two digits indicate the last two numbers of the year of filing of the application. The digit after the dash is used for computer control.

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
SI (SLOVENIA)	9300393	9300393	9300393	The first two digits indicate the last two numbers of the year of filing of the application. The third digit denotes the type of industrial property right and place of filing: 0 – 4 = patents and short-term patents. The last four digits denote the serial number of the application.
SK (SLOVAKIA)	PV 1234-95	1234-95	1234-95	PV is an abbreviation meaning "application for protection of an invention." The last two digits indicate the last two numbers of the year of filing of the application.
	PÚV 123-95	123-95	123-95 U	PÚV is an abbreviation meaning "application for protection of a utility model." The last two digits indicate the last two numbers of the year of filing of the application.

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
TJ (TAJIKISTAN)	96000375 9600001	96000375 9600001	96000375 9600001 U	<p>Patent application.</p> <p>Utility model application.</p> <p>The first two digits indicate the last two numbers of the year of filing of the application. Digits in positions 3 to 8 denote the serial number of the application. The numbering system was introduced in 1993.</p>
TM (TURK-MENISTAN)	07/100895N	07/100895	07/100895	<p>New numbering system introduced as from January 1, 1997. The figure before the slash indicates the year of filing of the application (07 means 1997).</p> <p>The numeral after the slash denotes the type of industrial property right (1 = patent for invention).</p> <p>The last three numerals represent the serial number of the application. The digits in positions two and three, after the slash, are not yet used by the TM Office. The letter N is used to denote applications filed by non-residents.</p>
TT (TRINIDAD AND TOBAGO)	164 of 1971 (old numbering system)	164 of 1971	164/1971 or 164/71	Numbering system for patent applications prior to 1996.

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
	960010	960010	960010	Numbering system for patent applications introduced from 1996.
UA (UKRAINE)	94051272	94051272	94051272	The first two digits of the number indicate the last two numbers of the year, and the subsequent two digits the month, of filing of the application.
UZ (UZBEKI-STAN)	IHAP9200019.1	IH9200019	9200019	Patent application.
	IHDP9200014.1	IH9200014	9200014	Application for a preliminary patent.
	FMGU9500009.1	FM9500009	9500009 U	Utility model application. The first two letters indicate the type of industrial property right followed by two letters denoting the type of protection document (IH = patent for invention, FM = utility model, AP = patent, DP = preliminary patent, GU = utility model certificate). The first two digits denote the last two numbers of the year of filing of the application.

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
UZ (UZBEKI-STAN) (cont'd)				The five digits following the year indicator represent the serial number. The digit after the full stop indicates the examination division.
VE (VENEZUELA)	12122-68	12122-68	12122-68 or 12122/68	-
WO (WORLD INTELLECTUAL PROPERTY ORGANIZATION)	PCT/DE79/000 16 PCT/IB94/0004 1	PCT/DE79/000 16 PCT/IB94/0004 1	PCT/DE79/000 16 PCT/IB94/0004 1	The same form should be used, if the PCT application number is cited under INID codes (86) and (30).
YU (YUGOSLAVIA)	P1135/66	1135/66	1135/66	-
ZA (SOUTH AFRICA)	70/4865	70/4865	70/4865	-

TABLE I COUNTRIES OR ORGANIZATIONS HAVING ANNUAL SERIES OF APPLICATION NUMBERS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
ZM (ZAMBIA)	142/70	142/70	142/70	-
ZW (ZIMBABWE)	10/84	10/84	10/84	-

[Table II follows]

TABLE II COUNTRIES OR ORGANIZATIONS HAVING OTHER THAN ANNUAL NUMBERING SYSTEMS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
AR (ARGENTINA)	231790	231790	231790	-
AU (AUSTRALIA)	59195/69	59195/69	59195/69	Long series spread over several years. Provisional applications lodged after January 1, 1970, were numbered PA0001 to PA9999, PB0001 to PB9999, etc.

TABLE II COUNTRIES OR ORGANIZATIONS HAVING OTHER THAN ANNUAL NUMBERING SYSTEMS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
BE (BELGIUM)	96469 (old numbering system, up to December 31, 1986)	96469	96469	<p>Application numbers not present in published patent documents nor in official gazettes. Basis of numbering system: 10 parallel series of numbers (nine provincial bureaus plus filing by post) which are not otherwise specified.</p> <p>Starting date of provincial series is 1854, of "Filing by post" series 1965.</p> <p>Numbering system used from 1974 to 1986, according to which each of the 10 parallel series of numbers is identified by a numeral (0 to 9) appearing in a patent application number as the first digit and allowing unique identification of the application.</p>
BG (BULGARIA)	100116	100116	100116	Common continuous numbering system for applications for patents for inventions and for utility models (as from June 1, 1993).
	183	183	183	Common continuous numbering system for applications for plant varieties and animal breeds (as from January 4, 1997).

TABLE II COUNTRIES OR ORGANIZATIONS HAVING OTHER THAN ANNUAL NUMBERING SYSTEMS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
BR (BRAZIL)	222986 (old numbering system)	222986	222986	Numbering system prior to 1972. (See an example of new numbering system in Table I.)

TABLE II COUNTRIES OR ORGANIZATIONS HAVING OTHER THAN ANNUAL NUMBERING SYSTEMS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
CA (CANADA)	103828 (old numbering system)	103828	103828	Numbering system for patent applications filed prior to October 1, 1989. The old numbering system comprised two series of application numbers. The first series started in 1869 and the second on September 13, 1967. The starting number of the first series was 1 and the last number of the first series was 999,999. The starting number of the second series was 1. The second series of the old numbering system is still continuing.
	2103828 (current numbering system)	2103828	2103828	Numbering system for patent applications filed on or after October 1, 1989. The starting number of the current numbering system is 2000001.
CO (COLOMBIA)	126050	126050	126050	-
CU (CUBA)	33384 (old numbering system)	33384	33384	Until the year 1984, a continuous numbering system was used for patent applications. The numbers were assigned in ascending order to the applications filed. New numbering system as from 1985 (see Table I).

TABLE II COUNTRIES OR ORGANIZATIONS HAVING OTHER THAN ANNUAL NUMBERING SYSTEMS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
DE (GERMANY) (documents published by the former DD Office)	AP 84 C/137 355 WP 35 B/147 203 (old numbering system based on the national patent classification) AP C 07 C/166 692 WP H 04 C/174 347 (old numbering system based on the IPC)	137 355 147 203 166 692 174 347	137 355 147 203 166 692 174 347	<i>AP = Ausschliessungspatent</i> <i>WP = Wirtschaftspatent</i> The other symbols before the slash are classification symbols. A single numbering series covers both AP and WP applications. The symbols before the slash may be changed due to the examination process.

TABLE II COUNTRIES OR ORGANIZATIONS HAVING OTHER THAN ANNUAL NUMBERING SYSTEMS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
DE (documents published by the former DD Office) (cont'd)	AP C07D / 2273 773 WP E21C/ 2269 623 (new numbering system as of 1981)	2273 773 2269 623	2273 773 2269 623	The last digit of the application number in the new numbering system denotes a check number for electronic data processing.
ES (SPAIN)	406.952 (old numbering system, up to 1986) 185.284 (old numbering system, up to 1986)	406.952 185.284	406.952 185.284 U	<p>Patent application number (application filed in 1972).</p> <p>Utility model application number (application filed in 1972).</p> <p>Until 1986, patent and utility model applications were numbered in two parallel series. No specific indication for the type of industrial property right appears in the application number.</p> <p>Starting date of patent application numbering system: 1878. Last patent application number: 557.877.</p> <p>Starting date of utility model application numbering system: 1929. Last utility model application number: 420.817.</p>

TABLE II COUNTRIES OR ORGANIZATIONS HAVING OTHER THAN ANNUAL NUMBERING SYSTEMS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
	<p>New numbering system for applications from 1986: see Table I.</p> <p>New numbering system for published documents from 1986: continuous series from 2.000.000 onwards for patents and from 1.000.000 onwards for utility models.</p>			

TABLE II COUNTRIES OR ORGANIZATIONS HAVING OTHER THAN ANNUAL NUMBERING SYSTEMS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
GE (GEORGIA)	000288	000288	000288	Patent application.
	000185	000185	000185	Utility model application.
GR (GREECE)	44114 (old numbering system)	44114	44114	Numbering system used until the end of 1984. New numbering system as of 1985: see Table I.
HU (HUNGARY)	OE 107 (old numbering system)	OE 107	OE 107	The letters preceding the number are the first letter and first following vowel of the applicant's name. There is a separate numbering series for each pair of letters. The letters are essential for identifying the application.
IL (ISRAEL)	53125	53125	53125	-
LT (LITHUANIA)	IP 2029 (old numbering system) RP 346 (old numbering system)	IP 2029 RP 346	IP 2029 RP 346	IP is an abbreviation meaning "application for protection of an invention." RP is an abbreviation meaning "application for re-registration of a patent." Numbering system used from 1992 to 1995. New numbering system for applications (from 1995): see Table I.

TABLE II COUNTRIES OR ORGANIZATIONS HAVING OTHER THAN ANNUAL NUMBERING SYSTEMS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
LU (LUXEM-BOURG)	60093	60093	60093	-
MC (MONACO)	PV 908	908	908	The letters PV stand for <i>Procès-verbal</i> .
MD (REPUBLIC OF MOLDOVA)	0187	0187	0187 U	Utility model application.

TABLE II COUNTRIES OR ORGANIZATIONS HAVING OTHER THAN ANNUAL NUMBERING SYSTEMS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
MN (MONGOLIA)	955	955	955	Application for a patent for invention. No indication as to the type of industrial property right concerned.
MX (MEXICO)	123723 (old numbering system)	123723	123723	The six digits denote the serial number of the application. For new numbering system, please refer to Table I.
NL (NETHERLANDS)	1000123	1000123	1000123	On April 1, 1995, in the Netherlands a new patent act came into force. The new numbering system for applications and patents consists of a continuous series beginning with 1000001.
NZ (NEW ZEALAND)	201732	201732	201732	-
OA (AFRICAN INTELLECTUAL PROPERTY ORGANIZATION)	52118 051/SN	52118 051/SN	52118 051/SN	Number assigned to the application filed according to direct filing procedure. Number assigned to the application filed according to indirect filing procedure. The two last letters denote the ST.3 code of the country of origin.

TABLE II COUNTRIES OR ORGANIZATIONS HAVING OTHER THAN ANNUAL NUMBERING SYSTEMS

Country or Organization	Example of Application Number	Minimum Significant Part of a Number	Recommended Presentation in Abbreviated Form as a Priority Application Number	Remarks
PH (PHILIPPINES)	40155	40155	40155	Patent application filed on March 7, 1990.
PL (POLAND)	P 214461 W 36746	214461 36746	214461 36746 U	Patent application. Utility model application.
PT (PORTUGAL)	52555 5607	52555 5607	52555 5607 U	Patent application. Utility model application.
RO (ROMANIA)	145006 (old numbering system)	145006	145006	Numbering system used until January 1992. For new numbering system, see Table I.
SU (SOVIET UNION) (former)	1397205/30-15	1397205	1397205	The numbers following the slash denote the examination division and a processing number. This numbering system was valid up to October 14, 1992.
TM (TURK-MENISTAN)	956 (old numbering system)	956	956	Numbering system used before 1997. For new numbering system, see Table I.
TT (TRINIDAD AND TOBAGO)	42 (old numbering system)	42	42	Numbering system for patent applications used prior to the year 1904.

Guidance on Foreign Addresses

1. Japanese Addresses

Ken (prefecture):

use **State or Province::**

only 1 entry per address

Gun (county): [likely to be omitted from an address if shi (city)]

Shi (city)

Cho (township)

Machi (village)

Mura (village),

Ku (borough)

(District), or Cho (as district only if shi comes before)

Chome (block)

may be with **Street::** and **City::** labels as appropriate
to designate postal hierarchy

only one City:: per address

Examples:

Family Name:: Totoki

Street:: c/o Kao Corp., Research Lab

1-3, Bunka 2-chome

City:: Sumida-ku

State or Province:: Tokyo

Country:: Japan

Postal or Zip Code:: 131-8501

Citizenship Country:: Japan

Family Name:: Yamada

Street:: Yaho Corp.

Toyota-cho

1-3, Bunka 2-chome

City:: Toyota-shi

State or Province:: Aichi-sen

Country: Japan

Postal or Zip Code:: 131-8501

Citizenship Country:: Japan